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BEFORE THE GUAM CIVIL SERVICE COMMISSION

BOARD OF COMMISSIONERS



IN THE MATTER OF:

JOSEPH ANTHONY AGUON,

Employee,

VS.

GUAM WATERWORKS AUTHORITY,

Management.

ADVERSE ACTION APPEAL CASE NO.: 21-AA10T

DECISION AND JUDGMENT

I. INTRODUCTION

This matter came before the Civil Service Commission (CSC) for a merit hearing on September 8, and 9, 2022. Present for the hearing was the Employee, Joseph Aguon, and his Lay Representative, Robert Koss. Present for Management, was Guam Waterworks Authority (GWA) General Manager Miguel C. Bordallo, P.E., and GWA legal counsel Theresa G. Rojas, Esq. Present for the Civil Service

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Commission were the following four (4) Commissioners; Chairman Juan K. Calvo, Vice Chairman Anthony Benavente, Commissioner John Smith, and Commissioner Robert C. Taitano.

II. JURISDICTION

The jurisdiction of the Commission is based upon 4 G.C.A. §4401 et seq., and relevant portions of the Guam Waterworks Authority Personnel Rules and Regulations.

III. ISSUE

Did Management prove the allegations set forth in Employee's April 26, 2021, Notice of Final Adverse Action by clear and convincing evidence and was the appropriate level of discipline, resulting in the Employee's termination, sustained?

IV. FINDINGS

Upon hire as a Wastewater Maintenance Mechanic II, Employee acknowledged in writing GWA's zero-tolerance Drug and Alcohol-Free Workplace Policy. The policy and individual notices to Employee made clear that Employee's position as a

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Before the September 8, 2022, hearing began, Employee requested the recusal of Commissioner Tuncap, who was present, raising a perceived conflict when the Commissioner was seen speaking with GWA's General Manager after a separate motion hearing for this case had concluded on September 6. On the record, Commissioner Tuncap explained that the brief discussion was personal. GWA's General Manager confirmed that he had no familial relations or other with Commissioner Tuncap and had only met Commissioner Tuncap for the first time on September 6, 2022 and that the brief discussion was he had to listen to a concern regarding service with Commissioner's water utility. Noting the potential for the appearance of impartiality the remaining Commissioners voted 4-0 to recuse Commissioner Tuncap from any further hearing in the above-referenced matter.

Wastewater Maintenance Mechanic II was a test-designated position ("TDP") requiring Employee to undergo random drug tests. The policy and individual notices further stated that refusal to participate in the random drug tests or failure to report for testing would all be considered the equivalent of a confirmed positive test subjecting Employee to termination and dismissal from GWA.

On March 18, 2021, Employee was selected for random drug testing and was instructed to provide a urine sample at GWA's Upper Tumon facility. The drug testing was administered and controlled by GWA staff and Guam's Department of Administration ("DOA") Recruitment and Drug Testing division. Lab Technicians from Diagnostic Laboratory Services ("DLS") were also on site to perform urine sample collection.

At the merit hearing, DLS employee testimony confirmed that Employee attempted to provide a urine specimen but spilled it. Thereafter, personnel from DOA's Recruitment and Drug Testing Division instituted "shy bladder protocols" as a result of Employee's failed attempt and spill extending the opportunity for Employee to provide an additional urine sample. Pursuant to the adopted protocols, Employee was given several bottles of water, not to exceed (40 oz) and sufficient time of up to three hours to provide a second urine sample. DLS lab technician

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confirmed that a minimum of at least 30 milliliters was required from Employee to provide a sufficient sample for review and explained that 30 milliliters was the same measurement used in bottle caps of often purchased over the counter cold and flu medicine.

During the hearing, DLS staff testified and provided records showing that Employee's urine had spilled at 11:12 AM, and that two added attempts were made at 11:55 AM, and 14:59 PM to collect the minimum 30 milliliters. The two additional attempts were recorded as "QNS" or "quantity not insufficient" by DLS staff and resulted in a decision to end Employee's drug test at 15:10 PM; almost four (4) additional hours after Employee first spilled his specimen. All the information above and testified to at hearing were written, recorded, and sent to DOA's Medical Review Officer ("MRO"), a medical physician, for a test result determination. On, April 2, 2021, GWA's General Manager received the MRO's determination of Employee's March 18, 2021 random drug test, and the MRO determined Employee's actions as a "refusal to test."

On the basis of the MRO's findings and pursuant to GWA's zero tolerance policy strictly prohibiting the use of illegal drugs, Employee was served with a proposed and final adverse action terminating Employee effective April 26, 2021.

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GWA confirmed through testimony that while the proposed adverse action was pending Employee was served and given the opportunity to file an appeal using DOA's Drug Test Result Appeal Form. At hearing, DOA and GWA personnel confirmed that no appeal OR additional documentation was filed by Employee for the MRO's additional review to contest or offer any explanation for the drug test result findings as a "refusal to test."

After reviewing all documents submitted and hearing the testimony of the witnesses as well as arguments from the parties, the Commission deliberated and voted 4 to 0, concluding that Management met its burden of proof by clear and convincing evidence when it terminated the Employee from his classified position as a Wastewater Maintenance Mechanic II from GWA.

V. CONCLUSION

By a vote of 4 to 0, the appeal of the Employee, Joseph A. Aguon, is dismissed with prejudice in its entirety on the grounds that management, GWA, has sustained its burden of proof that Employee engaged in the acts as specified in the Final Notice of Adverse Action in violation of GWA's zero tolerance drug and alcohol-free workplace policy.

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The Employee has the right to appeal this decision of the Commission to the Superior Court of Guam within thirty (30) days after judgment is entered, pursuant to CSC Rule 11.7.8 and the rules and timeframes set forth under law.

SO ORDERED this 24 day of January, 2023.

JUAN K. CALVO

Chairman

ANTHONY P. BENAVENTE

Vice Chairman

PRISCILLA T. TUNCAP

Commissioner

ROBERT C. TAITANO

Commissioner

JOHN SMITH

Commissioner

FRANCISCO T. GUERRERO

Commissioner

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